

FORM PTO-1390 (REV. 12-2001)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		Mo6994/LeA 33,570	
INTERNATIONAL APPLICATION NO.		INTERNATIONAL FILING DATE	U.S. APPLICATION NO. (If known, see 37 CFR 1.5)
PCT/EP00/08605		04 September 2000 (4.09.00)	10/088216 To be Assigned
TITLE OF INVENTION Polycarbonate Slabs			
APPLICANT(S) FOR DO/EO/US GÖRNY, Ruediger; ANDERS, Siegfried; ROEHNER, Juergen; KUEHLING, Steffen; LANZE, Rolf; PREIN, Michael; NEUMANN, Rainer and KAUTH, Hermann			
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:			
<ol style="list-style-type: none"> <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. <input checked="" type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31). <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 			
Items 11 to 20 below concern document(s) or information included: <ol style="list-style-type: none"> <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. <input checked="" type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. <input type="checkbox"/> A substitute specification. <input type="checkbox"/> A change of power of attorney and/or address letter. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4). <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). <input checked="" type="checkbox"/> Other items or information: 			
Abstract page PTO 1449 with listed references			

**TRANSMITTAL LETTER TO THE
UNITED STATES RECEIVING OFFICE**

JC07 Rec'd DCT/PTO 03 MAR 2002

Date 8 March 2002

International Application No. PCT/EP00/08605

Attorney Docket No. 06994/LeA 33,570

I. Certification under 37 CFR 1.10 (if applicable)

ET671453561US

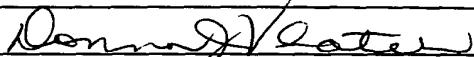
Express Mail mailing number

10/088216

8 March 2002

Date of Deposit

I hereby certify that the application/correspondence attached hereto is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Assistant Commissioner for Patents, Washington, D.C. 20231.



Signature or person mailing correspondence

Donna J. Veatch

Typed or printed name of person mailing correspondence

II. New International Application

TITLE Polycarbonate Slabs

Earliest priority date
(Day/Month/Year)

13 Sep 1999 (13.09.99)

SCREENING DISCLOSURE, INFORMATION: In order to assist in screening the accompanying international application for purposes of determining whether a license for foreign trannittal should and could be granted and for other purposes, the following information is supplied. (Note: check as many boxes as apply):

- A. The invention disclosed was **not** made in the United States.
- B. There is no prior U.S. application relating to this invention.
- C. The following prior U.S. application(s) contain subject matter which is related to the invention disclosed in the attached international application. (NOTE: priority to these applications may or may not be claimed on form PCT/RO/101 (Request) and this listing does not constitute a claim for priority.)

application no.		filed on	
application no.		filed on	

- D. The present international application contains additional subject matter not found in the prior U.S. application(s) identified in paragraph C. above. The additional subject matter is found on pages _____ and **DOES NOT ALTER** **MIGHT BE CONSIDERED TO ALTER** the general nature of the invention in a manner which would require the U.S. application to have been made available for inspection by the appropriate defense agencies under 35 U.S.C. 181 and 37 CFR 5.1. See 37 CFR 5.15

III. A Response to an Invitation from the RO/US. The following document(s) is(are) enclosed:

- A. A Request for An Extension of Time to File a Response
- B. A Power of Attorney (General or Regular)
- C. Replacement pages:

pages		of the request (PCT/RO/101)	pages		of the figures
pages		of the description	pages		of the abstract
pages		of the claims			

- D. Submission of Priority Documents

Priority document		Priority document	
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- E. Fees as specified on attached Fee Calculation sheet form PCT/RO/101 annex

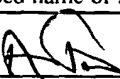
IV. A Request for Rectification under PCT 91 A Petition A Sequence Listing Diskette

V. Other (please specify): Preliminary Amendment

Abstract page

PTO 1449 with listed references

The person
signing this
form is the:

<input type="checkbox"/> Applicant	Aron Preis	
<input checked="" type="checkbox"/> Attorney/Agent (Reg. No.) 29,426		Typed name of signer
<input type="checkbox"/> Common Representative		 Signature

U.S. APPLICATION NO. (if known, see 37 CFR 1.492(e))
To be Assigned 10/088216 INTERNATIONAL APPLICATION NO.
PCT/EP00/08605

ATTORNEY'S DOCKET NUMBER
Mo6994/LeA 33,570

21. <input checked="" type="checkbox"/> The following fees are submitted:		CALCULATIONS PTO USE ONLY	
BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):			
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1040.00			
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$890.00			
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$740.00			
International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(l)-(4) \$710.00			
International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(l)-(4) \$100.00			
ENTER APPROPRIATE BASIC FEE AMOUNT =		\$ 890.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).		\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	14 - 20 =	0	x \$18.00 \$
Independent claims	4 - 3 =	1	x \$84.00 \$ 84.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)		+ \$280.00	\$
TOTAL OF ABOVE CALCULATIONS =		\$ 974.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.		+ \$	
SUBTOTAL =		\$	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).		\$	
TOTAL NATIONAL FEE =		\$ 974.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + \$			
TOTAL FEES ENCLOSED =		\$ 974.00	
		Amount to be refunded:	\$
		charged:	\$
<p>a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.</p> <p>b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>13-3848</u> in the amount of \$ <u>974.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>13-3848</u>. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p>			
<p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.</p>			
<p>SEND ALL CORRESPONDENCE TO:</p> <p style="text-align: right;"></p> <p>SIGNATURE _____</p> <p>Aron Preis _____</p> <p>NAME _____</p> <p>29,426 _____</p> <p>REGISTRATION NUMBER _____</p>			
<p> 00157 PATENT TRADEMARK OFFICE</p>			